

PATENT

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6/5/06
Date

Michelle Hobson
Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

WOLFFE et al.

Serial No.: 10/084,826

Filing Date: October 24, 2001

Title: TARGETED MODIFICATION OF
CHROMATIN STRUCTURE

Examiner: D. Sullivan

Group Art Unit: 1636

Confirmation No.: 4340

Customer No.: 20855

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(b)**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sirs:

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,
Applicant(s) hereby submits the following information in conformance with 37 C.F.R.
§§1.97 and 1.98.

- ☒ Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- ☐ No copy of the publication _____ listed on the attached Form PTO/SB/08A are being provided because the Office waives the requirement under 37 C.F.R. 1.98 (a) (2) (i) for submitting a copy of each cited U.S. patent and each U. S. patent application publication for all U.S. national patent applications filed after June 30, 2003.
- ☐ No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application

Serial No. ____ to which the above-identified application claims priority under 35 U.S.C. §120.

- ☐ Publication(s) _____ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to _____ application serial no. _____ and mailed on _____.
- ☐ Enclosed is a copy of a non-English publication(s) _____. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) _____. English language publication ____ (copy enclosed) claims priority from this non-English publication.
- ☐ Enclosed is an explanation of non-English publication(s) ____ for which an English translation is not available.
- ☐ Enclosed is an English translation of non-English publication(s) ____ cited in the attached Form PTO/SB/08A.
- ☐ Enclosed is a copy of pending patent Application Serial No. _____.

This Information Disclosure Statement is filed within any one of the following time periods:


- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- ☐ before the mailing date of a first office action on the merits; or
- ☒ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. §1.114.

This Information Disclosure Statement under 37 CFR § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Respectfully submitted,

Date: June 5, 2006

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PTO/SB/08A (08-03)

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	10/084,826
				Filing Date	October 24, 2001
				First Named Inventor	WOLFFE, Alan P.
				Art Unit	1636
				Examiner Name	SULLIVAN, Daniel
Sheet	1	of	1	Attorney Docket Number	8325-0014.20 (S14-US2)

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS								
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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	C1	CAI, <i>et al.</i> , "A Mutant Deubiquitinating Enzyme (Ubp-M) Associates with Mitotic Chromosomes and Blocks Cell Division," <i>Proc. Natl. Acad. Sci. USA</i> 96(6):2828-2833 (1999)	
	C2	GOFFEAU, <i>et al.</i> , "Life with 6000 Genes," <i>Science</i> 274:546-547 (1996)	
	C3	KAISER, <i>et al.</i> , "A Human Ubiquitin-Conjugating Enzyme Homologous to Yeast UBC8," <i>J. Biol. Chem.</i> 269:8797-8802 (1994)	
	C4	LO, <i>et al.</i> , "Snf1-a Histone Kinase That Works in Concert with the Histone Acetyltransferase Gcn5 to Regulate Transcription," <i>Science</i> 293(5532):1142-1146 (2001)	
	C5	USUI, <i>et al.</i> , "Three Distinct Forms of Type 2A Protein Phosphatase in Human Erythrocyte Cytosol," <i>J. Biol. Chem.</i> 263:3752-3761 (1988)	
	C6	ZHAO, <i>et al.</i> , "Comparison of The Enzymatic Activities of Native and Recombinant Protein Phosphatase-1 Toward Histone," <i>Biochim Mol. Biol. Int.</i> 34(5):1027-1033 (1994)	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.